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TC 1700

PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger et al.

Serial No.: 09/704,641

Filed: November 1, 2000

For: **METHOD AND APPARATUS FOR
SUPERCritical PROCESSING
OF A WORKPIECE**

) Group Art Unit:

) Examiner:

) **TRANSMITTAL LETTER**) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650)833-0160Assistant Commissioner for Patents
Washington, D.C. 20231RECEIVED
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Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLPDated: April 10, 2001By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents Washington D.C. 20231

HAVERSTOCK & OWENS LLP
Date: 4/10/01 By: Jonathan O. Owens



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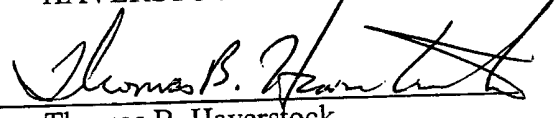
Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449 (including copies of the references contained thereon), and Certification under 37 C.F.R. §1.97(e) for filing in the U.S. Patent and Trademark Office.

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Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By:


Thomas B. Haverstock
Reg. No.: 32,571
Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
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HAVERSTOCK & OWENS LLP

4/24/01 BY Cynthia L. Gray



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Serial No.: 09/704,641

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For: **METHOD AND APPARATUS FOR
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OF A WORKPIECE**

) Group Art Unit:

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650)833-0160

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10/10/02
MW

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,866,005;
- European Publication No. 0 836 895 A2;
- PCT Publication No. WO 99/49998; and
- "A Comparison of Chilled DI Water/Ozone and Co₂-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents" by J.B. Rubin et al., Physical Organic Chemistry Group (CST-12) Chemical Science & Technology Division Los Alamos National Laboratory, Los Alamos, New Mexico.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

4/24/01 Cynthia L. Gray
CERTIFICATE OF MAILING (37 CFR § 1.8(b))

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) Group Art Unit:
) Examiner:

) **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650) 833-0160

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on February 1, 2001. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



Attorney Docket No.: PATENT
SSI-00700

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

DATE OF MAILING (37 CFR § 1.8(a))

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Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

4/24/01 - Cynthia L Gray

COPY



Serial No.: 09/704,641 H&O File No.: SSI-00700 By: TBH/SR
In the Matter of The Application of: Maximilian A. Biberger et al.
Date Mailed: 4/24/01 Due Date: 5/1/01
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Utility patent Application _____ Pages | <input type="checkbox"/> Form PTOL-85B (issue Fee Trans) & dup. | <input checked="" type="checkbox"/> Certificate of Mailing |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> _____ | |
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By: 500

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| <input type="checkbox"/> Declaration/Oath | <input type="checkbox"/> Maintenance Fee Transmittal Form | Label No.: _____ | |
| <input type="checkbox"/> Power of Attorney _____ | <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Check(s): \$ _____ | |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Notice of Appeal | <input checked="" type="checkbox"/> Deposit Account Authorization Form | |
| <input type="checkbox"/> Form PTO-1594/1595 | <input type="checkbox"/> Affidavit/Declaration | | |
| <input type="checkbox"/> Small Entity Declaration | <input type="checkbox"/> Copy of Filing Receipt | | |
| <input type="checkbox"/> Drawings: _____ Sheet(s) | <input type="checkbox"/> Req. for Corr. of: _____ | | |
| <input type="checkbox"/> <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> _____ | | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> _____ | | |
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- U.S. Patent No. 5,965,025
- U.S. Patent No. 5,888,050
- U.S. Patent No. 5,797,719
- U.S. Patent No. 5,730,874

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